



## Audit Services

### KWA Audit Services

KWA Analytics are able to provide a range of functional and technical audit services, to help clients understand whether their Openlink implementation is optimised. **Our audit reviews can assess system design, implementation, interfacing, performance, maintainability and documentation,** providing expert recommendations on rectifying functional and technical issues identified.



***“We can audit a number of key areas and provide expert recommendations on rectifying issues”***

**KWA’s can work with clients pre or post implementation, including:**

- **Pre-go-live validation**, where the client is nearing project completion and is looking for independent impartial validation that the design and configuration is fit for purpose.
- **Operational issue remediation**, for an existing implementation experiencing on-going performance or stability issues and the root cause is not initially clear.
- **Pre-upgrade validation**, where the client is planning to upgrade and wants to ensure the current implementation is clean prior to commencing the project.

When delivering a client audit we always consider the different elements of the end to end solution, these include:

- **Module set up and configuration**, is the overall module (e.g. Market Manager) set-up correct and are specific configurations like price and volatility curves aligned with industry practices.
- **Technical scripts**, we additionally audit any technical scripts used to support the module configuration.
- **Services Manager/Trade Process Management**, are the domain services and workflows configured and performing correctly.
- **End of Day (EOD)**, do the process steps make sense and are they technically correct. This includes operational or market services required to support the EOD process.
- **Reporting including APM and Riskpak**, how is the reporting suite designed and is the performance optimal.
- **Deal modelling**, are deals modelled correctly and using the correct inputs, for standard and complex deals.
- **Interfacing**, are the data interfaces to and from the Openlink application technically optimal.
- **Environment and code base management**, if required, we can review the overall setup and management for improvements.

Our audits are delivered by small expert teams, combining deep technical and functional knowledge and calling upon additional specialised resources where required. Our approach to a functional and technical audit is to always focus on whether the implementation is a clean and maintainable solution for the longer term.

*“Our audits help clients understand whether their implementation is optimised, clean and maintainable for long term operation”*

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